1.3 DEC 2004 U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE FORM PTO-1390 (Modified) (REV. 07-2004) 5468-102US//P28047-A-USA TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATIONNO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATIONNO. 19 June 2002 19 June 2003 PCT/US03/019489 TITLE OF INVENTION System and Method for the Creation and Management of Electronic Documents, Complete with Hierarchical and Linear Navigational Elements (as amended) APPLICANT(S) FOR DO/EO/US ANDREWS, Richard L.; DEFILIPPIS, John M.; MURPHY, Daniel S. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), 3.  $\boxtimes$ (6), (9) and (24) indicated below. The US has been elected (Article 31). Ø A copy of the International Application as filed (35 U.S.C. 371 (c) (2))  $\boxtimes$ is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. 🗆 is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🛛 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🗆 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 🗆 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). П have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. d. 🖾 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9.  $\boxtimes$ An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). A copy of the International Search Report (PCT/ISA/210). 12. Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14.  $\boxtimes$ X A FIRST preliminary amendment. 15. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. A power of attorney and/or change of address letter. 18 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 19. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 20. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 21.

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23.

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Other items or information:

EV 515 411 584 US

Acknowledgement Post Card; Express Mail Certificate

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U.S. APPLICATION	0/517753	PCT/US03/0194				//P28047-A-US	
24. The following fees are submitted:.					CALCULATIONS PTO USE ONLY		
☐ Neither inter	L FEE (37 CFR 1.492(a)(1)-(5 mational preliminary examinatio I search fee (37 CFR 1.445(a)(2) ional Search Report not prepared	on fee (37 CFR 1.482) nor	\$108	0.00			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO							
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00							
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)							
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$750.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than   months from the earliest claimed priority date (37 CFR 1.492(e)).					0	<u></u>	
CLAIMS	NUMBER FILED	NUMBER FILED NUMBER EXTRA RATE			0200.00		
Total claims	24 - 20 =	4	x \$50.00 x \$200.00		\$200.00 \$0.00	<del>-</del>	
Independent claims	<u> </u>	0	x \$200.	00	\$0.00		
Multiple Dependent Claims (check if applicable).  TOTAL OF ABOVE CALCULATION					\$950.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$475.00		
SUBTOTAL =					\$475.00		
Processing fee of \$130.00 for furnishing the English translation later than  20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$0.00		
		TOTAL NATIONAL	L FEE		\$475.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).					\$40.00		
		TOTAL FEES ENCL	OSED	=	\$515.00		
					Amount to be: refunded	\$	
					charged	\$	
	neck in the amount of	to cover the above fee					
b. 🔲 Plea	lease charge my Deposit Account No in the amount of to cover the above fees.						
	The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No23-3040						
d. 🔀 Fees	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an 1.137(a) or (b)) mu	appropriate time limit under 3 ast be filed and granted to resto	B7 CFR 1.494 or 1.495 has not bore the International Application	oeen met, a on to pendin	petitic g stati	on to revive (37 CFF us.	<b>R</b>	
SEND ALL CORRESPONDENCE TO:			Tomas Lul				
Thomas J. Onka				SIGNATURE			
Synnestvedt Lechner & Woodbridge LLP							
Princeton, NJ 08:	542			omas J. Onka			
609-924-5775 phone				NAME			
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## "EXPRESS MAIL CERTIFICATE"

"Express Mail" Mailing Label Number: EV 515 411 584 US

Express Mail Corporate Account Number: X085783

Date of Deposit:

12/13/04

Title: SYSTEM AND METHOD FOR THE CREATION AND MANAGEMENT OF

ELECTRONIC DOCUMENTS, COMPLETE WITH HIERARCHICAL AND LINEAR

NAVIGATIONAL ELEMENTS (as amended)

Inventors:

Richard L. Andrews, II

John M. DeFilippis Daniel S. Murphy

## Type of Documents:

- 1. Transmittal Letter to the US Designated/Elected Office 2 pages
- 2. USPTO Form 2038 Credit Card Authorization 1 page
- 3. Preliminary Amendment 9 pages
- 4. Recordation of Assignment and Executed Assignment 3 pages
- 5. USPTO Form 2038 Credit Card Authorization 1 page
- 6. Executed Declaration and Power of Attorney 4 pages
- 7. International Application as Published 38 pages
- 8. Express Mail Certificate 1 page
- 9. Acknowledgement Post Card 1 page

I hereby certify that the enclosed documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Patent and Trademark Office, Mail Stop PCT; Box 1450, Alexandria, VA 22313-1450

Thomas J. Onka, Esq.

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper of fee)